



# Illinois Department of Transportation

Office of Highways Project Implementation / Bureau of Local Roads & Streets  
2300 South Dirksen Parkway / Room 205 / Springfield, Illinois / 62764

**FILED**

January 10, 2023

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**CIRCULAR LETTER 2023-01**

*Cathy Johnson*  
COUNTY CLERK  
VERMILION CO. IL.

## **UPDATED JOINT FUNDING AGREEMENTS (BLR 05310 Suite of Agreements) DUE TO PUBLIC ACT 102-1092**

COUNTY ENGINEERS / SUPERINTENDENTS OF HIGHWAYS MUNICIPAL ENGINEERS / PUBLIC WORKS DIRECTORS / MAYORS METROPOLITAN PLANNING ORGANIZATIONS / TOWNSHIP HIGHWAY COMMISSIONERS, CONSULTING ENGINEERS

### **Introduction & Purpose:**

On June 10, 2022, Governor Pritzker signed HB4489 creating found Public Act 102-1092, which was effective immediately. This Public Act amends the Grant Accountability and Transparency Act (GATA); and provides that the requirements established under the Act do not apply to awards made by the Department of Transportation to units of local government for the purposes of transportation projects utilizing State, federal funds, or both State and federal funds. In addition, the Act recognizes that federal and federal pass-through awards from the Department of Transportation to units of local government are governed by and must comply with the applicable portions of Part 200 of the Uniform Administrative Requirements, Cost Accounting Principles, and Audit Requirements for Federal Awards (2 CFR 200).

As result of this legislative action, and to have full compliance with the applicable requirements of 2 CFR 200, the Department has revised the Joint Funding Agreements Series (BLRS 5310 Suite).

### **Revised Agreement Summary:**

In undertaking the revisions to the BLR 05310 Suite of Agreements, the following summary points are provided:

- The new BLR 05310 Suite of Agreements are comprised of 3 agreement types, dependent on the Phase of the project or the funding source, and are comprised of the following:
  - BLR 05310 PE – Preliminary and Engineering work that employ federal funding in part or in whole
  - BLR 05310 C – Construction and Construction Engineering that employ federal funding in part or in whole
  - BLR 05310 S – All phases when projects use State Funds in part or in whole, without any federal funding participation
- For clarity, the new BLR 05310 Suite of Agreements replaces the existing Uniform Intergovernmental Grant Agreement (UIGA), unless funding which is not exempt from GATA requirements is included. Please note, nearly all fund types and programs managed and executed through the Bureau of Locals Roads are now exempt from the GATA requirements. Please ask your District or Central Office of Local Roads personnel if questions arise.

- When federal funds are employed, two important changes are included as follows, of which are now a requirement to fully comply with 2 CFR 200:
  - LRS Federal Funds Risk Assessment (Schedule 3) – This Schedule is a questionnaire that will determine the risk each project and Local Public Agency has based on the responses provided. This assessment will be evaluated by the Department to determine reporting or other criteria to provide the Local Public Agency the ability to continually improve.
  - Attestation on Single Audit Compliance (Schedule 4) – A confirmation and authorized statement on the status and filing of the Single Audit requirements, when the 2 CFR 200 threshold for expenditures of federal funds is met or exceeded.
- Please note that the Risk Assessment and Attestation **must** be completed at the time a draft of the Joint Funding Agreement is submitted.

**DocuSign and Electronic Signature Features:**

The new 5310 Suite of Agreements has also adopted electronic signatures, via DocuSign as part of the improvements and goals of expediting and tracking of our agreements. During the test-pilot program, DocuSign will only be available internally to Department signees initially. Once fully implemented, the DocuSign process will be available and extended to the Local Public Agency (LPA) signees as well. For clarity, the Risk Assessment and the Attestation Statement both are fully available for electronic signature by the LPA via Adobe ESign immediately.

**Existing Agreements Policy:**

The Department is currently finalizing how existing agreements and GATA requirements that were in-place prior to the enactment of PA 102-1092 (June 10, 2022) will be addressed. Additional information and policy/procedures will be forthcoming.

**Links for new BLR 05310 Suite of Agreements:**

The new BLRS 5310 Agreements are now available on the Department website, and can be accessed and downloaded at the following locations:

- [BLR 05310 PE](#)
- [BLR 05310 C](#)
- [BLR 05310 S](#)

**Effective Dates:**

**The effective use date for the new agreements are effective immediately**, and it is highly encouraged that if an agreement is not yet executed that the in-progress agreement be placed on the appropriate and applicable new BLRS 5310 Agreement.

For clarity, fully executed agreements, irrespective of the date fully executed, will not be revised or re-written at this time, but may be when the new policy on these matters is fully resolved.

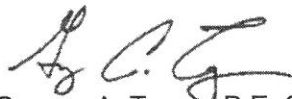
**Training Webex Session & Additional Questions:**

A Webex training session will be held and hosted via our T2 Center. If interested in attending, please see the following information:

- Date and time:  
Friday, Jan 20, 2023, 11:00 am | (UTC-06:00) Central Time (US & Canada)
- Join link:  
<https://illinois.webex.com/illinois/j.php?MTID=mffebe3938a97fbc05a7c17d9f6afda71>
- Webinar number:  
2451 822 0736
- Webinar password:  
EzOptions (63936767 from phones)
- Join by phone:  
+1-312-535-8110 United States Toll (Chicago)  
+1-415-655-0002 US Toll
- Access code:  
245 182 20736

For additional questions please contact Greg Lupton, Local Project Implementation Engineer at either [greg.lupton@illinois.gov](mailto:greg.lupton@illinois.gov), or 217-785-1670.

Sincerely,



George A. Tapas, P.E., S.E.  
Engineer of Local Roads and Streets

cc: David Snyder, Illinois Division Administrator, Federal Highways Administration  
Steven M. Travia, IDOT, Director, Office of Highways Project Implementation  
Shane Schneider, Illinois Association of County Engineers  
Brad Cole, Illinois Municipal League  
Jerry Crabtree, Township Officials of Illinois  
Arnie Vegter, Township Highway Commissioners of Illinois